

**FACTS****WHAT DOES SVB FINANCIAL GROUP  
DO WITH YOUR PERSONAL INFORMATION?****Why?**

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

**What?**

The types of personal information we collect, and share depend on the product or service you have with us. This information can include:

- Social Security number or other tax identifier and contact information
- Account balances and transaction history
- Credit history and assets

**How?**

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons SVB Financial Group chooses to share; and whether you can limit this sharing.

| Reasons we can share your personal information  | Does SVB Financial Group share? | Can you limit this sharing? |
|---|---------------------------------|-----------------------------|
| <b>For our everyday business purposes</b> — such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | Yes                             | No                          |
| <b>For our marketing purposes</b> – to offer our products and services to you   | Yes                             | No                          |
| <b>For joint marketing with other financial companies</b>   | No                              | We don't share              |
| <b>For our affiliates' everyday business purposes</b> – information about your transactions and experiences   | Yes                             | No                          |
| <b>For our affiliates' everyday business purposes</b> – information about your creditworthiness   | No                              | We don't share              |
| <b>For our affiliates to market to you</b>  | No                              | We don't share              |
| <b>For nonaffiliates to market to you</b>   | No                              | We don't share              |

**Questions?**

Call 408-654-7400 M-F, 5:30am - 5:00pm PT or go to [svb.com](https://svb.com)

| Who we are   |   |
|--|---|
| <b>Who is providing this notice?</b>                                 | SVB Financial Group is providing this notice on behalf of and covering the following wholly-owned legal entities or divisions: SVB Capital entities and SVB Securities.   |
| What we do   |   |
| <b>How does SVB Financial Group protect my personal information?</b> | To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.   |
| <b>How does SVB Financial Group collect my personal information?</b> | <p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> <li>▪ submit subscription documents, sign an NDA, or submit an Indication of Interest</li> <li>▪ make deposits or withdrawals</li> <li>▪ provide / alter wire instructions or make a wire transfer</li> </ul> <p>We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.</p> |
| <b>Why can't I limit all sharing?</b>                                | <p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> <li>▪ sharing for affiliates' everyday business purposes—information about your creditworthiness</li> <li>▪ affiliates from using your information to market to you</li> <li>▪ sharing for non-affiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>                |
| Definitions  |   |
| <b>Affiliates</b>  | <p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>▪ <i>Our affiliates include companies that utilize the brand name SVB Financial Group and companies that are owned by or under common control or ownership of SVB Financial Group.</i></li> </ul>   |
| <b>Nonaffiliates</b>   | <p>Companies not related by common ownership or control. They can be financial and non-financial companies.</p> <ul style="list-style-type: none"> <li>▪ <i>SVB Financial Group does not share with nonaffiliates so they can market to you.</i></li> </ul>   |
| <b>Joint marketing</b>   | <p>A formal agreement between non-affiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> <li>▪ <i>SVB Financial Group does not jointly market consumer financial products using your information.</i></li> </ul>   |