

SVB INVESTMENT SERVICES INC.  
Held NMS Stocks and Options Order Routing Public Report  
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3rd Quarter, 2022

July 2022  
S&P 500 Stocks  
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
Total Non-Directed Orders	1	100.00	1	100.00	0	0.00	0	0.00	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Instinet BlockCross(BLXX)	1	50.00	0	0.00	0	0.00	0	0.00	1	100.00
Citadel Securities LLC(CDRG)	1	50.00	1	100.00	0	0.00	0	0.00	0	0.00

July 2022  
Non-S&P 500 Stocks  
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
Total Non-Directed Orders	6	100.00	6	100.00	0	0.00	0	0.00	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	4	57.14	4	66.67	0	0.00	0	0.00	0	0.00
G1 Execution Services, LLC(ETMM)	2	28.57	2	33.33	0	0.00	0	0.00	0	0.00
Instinet BlockCross(BLXX)	1	14.29	0	0.00	0	0.00	0	0.00	1	100.00

July 2022  
Option Contracts  
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
Total Non-Directed Orders	0	0.00	0	0.00	0	0.00	0	0.00	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
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August 2022  
S&P 500 Stocks  
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
Total Non-Directed Orders	10	100.00	10	100.00	0	0.00	0	0.00	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	4	40.00	4	40.00	0	0.00	0	0.00	0	0.00
G1 Execution Services, LLC(ETMM)	4	40.00	4	40.00	0	0.00	0	0.00	0	0.00
Jane Street Capital, LLC(INST)	1	10.00	1	10.00	0	0.00	0	0.00	0	0.00
VIRTU Americas, LLC(NITE)	1	10.00	1	10.00	0	0.00	0	0.00	0	0.00

August 2022  
Non-S&P 500 Stocks  
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
Total Non-Directed Orders	9	100.00	9	100.00	0	0.00	0	0.00	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	5	45.45	5	55.56	0	0.00	0	0.00	0	0.00
G1 Execution Services, LLC(ETMM)	4	36.36	4	44.44	0	0.00	0	0.00	0	0.00
Instinet BlockCross(BLXX)	2	18.18	0	0.00	0	0.00	0	0.00	2	100.00

August 2022  
Option Contracts  
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders	
<b>Total Non-Directed Orders</b>	4	100.00	0	0.00	3	75.00	1	25.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	3	75.00	0	0.00	2	66.67	1	100.00	0	0.00
Susquehanna Capital Group(SUSQ)	1	25.00	0	0.00	1	33.33	0	0.00	0	0.00

September 2022  
S&P 500 Stocks  
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders	
<b>Total Non-Directed Orders</b>	2	100.00	2	100.00	0	0.00	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	1	50.00	1	50.00	0	0.00	0	0.00	0	0.00
VIRTU Americas, LLC(NITE)	1	50.00	1	50.00	0	0.00	0	0.00	0	0.00

September 2022  
Non-S&P 500 Stocks  
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders	
<b>Total Non-Directed Orders</b>	54	100.00	49	90.74	1	1.85	4	7.41	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
G1 Execution Services, LLC(ETMM)	19	32.76	19	38.78	0	0.00	0	0.00	0	0.00
Citadel Securities LLC(CDRG)	17	29.31	16	32.65	1	100.00	0	0.00	0	0.00
VIRTU Americas, LLC(NITE)	10	17.24	10	20.41	0	0.00	0	0.00	0	0.00
Jane Street Capital, LLC(INST)	3	5.17	3	6.12	0	0.00	0	0.00	0	0.00
NASDAQ Execution Services, LLC(NSDQ)	3	5.17	0	0.00	0	0.00	3	42.86	0	0.00
NYSE Arca(ARCA)	2	3.45	0	0.00	0	0.00	2	28.57	0	0.00
Cboe EDGX US Equities Exchange(EDGX)	2	3.45	0	0.00	0	0.00	2	28.57	0	0.00
Instinet BlockCross(BLKK)	1	1.72	0	0.00	0	0.00	0	0.00	1	100.00
UBS Securities, LLC(UBSS)	1	1.72	1	2.04	0	0.00	0	0.00	0	0.00

September 2022  
Option Contracts  
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders	
<b>Total Non-Directed Orders</b>	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
N/A										