

SVB INVESTMENT SERVICES INC.  
Held NMS Stocks and Options Order Routing Public Report  
Generated on Tue Jul 11 2023 11:07:47 GMT-4 EDT  
2nd Quarter, 2023

April 2023  
S&P 500 Stocks  
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
<b>Total Non-Directed Orders</b>	1	100.00	1	100.00	0	0.00	0	0.00	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Instinet BlockCross(BLKK)	1	50.00	0	0.00	0	0.00	0	0.00	1	100.00
VIRTU Americas, LLC(NITE)	1	50.00	1	100.00	0	0.00	0	0.00	0	0.00

April 2023  
Non-S&P 500 Stocks  
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
<b>Total Non-Directed Orders</b>	8	100.00	8	100.00	0	0.00	0	0.00	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
G1 Execution Services, LLC(ETMM)	4	50.00	4	50.00	0	0.00	0	0.00	0	0.00
Citadel Securities LLC(CDRG)	2	25.00	2	25.00	0	0.00	0	0.00	0	0.00
Jane Street Capital, LLC(INST)	1	12.50	1	12.50	0	0.00	0	0.00	0	0.00
Two Sigma Securities, LLC(SOHO)	1	12.50	1	12.50	0	0.00	0	0.00	0	0.00

April 2023  
Option Contracts  
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
<b>Total Non-Directed Orders</b>	0	0.00	0	0.00	0	0.00	0	0.00	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
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May 2023  
S&P 500 Stocks  
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
<b>Total Non-Directed Orders</b>	1	100.00	1	100.00	0	0.00	0	0.00	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	1	100.00	1	100.00	0	0.00	0	0.00	0	0.00

May 2023  
Non-S&P 500 Stocks  
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
<b>Total Non-Directed Orders</b>	7	100.00	7	100.00	0	0.00	0	0.00	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	3	42.86	3	42.86	0	0.00	0	0.00	0	0.00
VIRTU Americas, LLC(NITE)	3	42.86	3	42.86	0	0.00	0	0.00	0	0.00
Jane Street Capital, LLC(INST)	1	14.29	1	14.29	0	0.00	0	0.00	0	0.00

May 2023  
Option Contracts  
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
<b>Total Non-Directed Orders</b>	0	0.00	0	0.00	0	0.00	0	0.00	0

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Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Venue - Non-directed Order Flow										

June 2023  
S&P 500 Stocks  
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
6	100.00	6	100.00	0	0.00	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	3	50.00	3	50.00	0	0.00	0	0.00	0	0.00
VIRTU Americas, LLC(NITE)	2	33.33	2	33.33	0	0.00	0	0.00	0	0.00
Jane Street Capital, LLC(INST)	1	16.67	1	16.67	0	0.00	0	0.00	0	0.00

June 2023  
Non-S&P 500 Stocks  
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
26	100.00	24	92.31	1	3.85	1	3.85	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	10	37.04	10	41.67	0	0.00	0	0.00	0	0.00
VIRTU Americas, LLC(NITE)	6	22.22	5	20.83	1	100.00	0	0.00	0	0.00
G1 Execution Services, LLC(ETMM)	5	18.52	5	20.83	0	0.00	0	0.00	0	0.00
Jane Street Capital, LLC(INST)	3	11.11	3	12.50	0	0.00	0	0.00	0	0.00
Instinet BlockCross(BLKK)	1	3.70	0	0.00	0	0.00	0	0.00	1	100.00
Cboe EDGX US Equities Exchange(EDGX)	1	3.70	0	0.00	0	0.00	1	100.00	0	0.00
Two Sigma Securities, LLC(SOHO)	1	3.70	1	4.17	0	0.00	0	0.00	0	0.00

June 2023  
Option Contracts  
Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
0	0.00	0	0.00	0	0.00	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
N/A										