SVB INVESTMENT SERVICES INC. Held NMS Stocks and Options Order Routing Public Report Generated on Tue Jul 11 2023 11:07:47 GMT-4 EDT 2nd Quarter, 2023

| April 2023 | | | | | | | | | | |
|--|---|--|------------------------------------|--|--|---|--|---|---|--------------------|
| &P 500 Stocks | | | | | | | | | | |
| mmary | | | | | | | | | | 1 |
| | | | | | | | Non- | | | |
| | | | | | Marketable | | Marketable | | | |
| | Non-Directed | | Market Orders | Total | Limit Orders as | Total Non- | Limit Orders as | | Other Orders as | |
| | Orders as % of | Total Market | as % of Non- | Marketable | % of Non- | | % of Non- | Total Other | % of Non- | |
| otal Non-Directed Orders | All Orders | Orders | | Limit Orders | Directed Orders | Limit Orders | Directed Orders | | Directed Orders | |
| 1 | 100.00 | 1 | 100.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | |
| | | | | | | | | | | |
| enues | | | | | | | | | | |
| | | | | | | | Non- | Non- | | |
| | Non-Directed | Non-Directed | | Market | Marketable | Marketable | Marketable | Marketable | | Other |
| | Orders | Orders(%) | Market Orders | Orders(%) | Limit Orders | | Limit Orders | Limit Orders(%) | | Orders(%) |
| nstinet BlockCross(BLKX) | 1 | 50.00 | 0 | | n n | 0.00 | n n | 0.00 | | 1 |
| IRTU Americas, LLC(NITE) | 1 | 50.00 | 1 | | 0 | 0.00 | 0 | | | _ |
| | | | | | - | | | | | |
| oril 2023 | | | | | | | | | | |
| on-S&P 500 Stocks | | | | | | | | | | |
| ımmary | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | Non- | | | |
| | | | | | Marketable | | Marketable | | | |
| | Non-Directed | | | Total | | | Limit Orders as | | Other Orders as | |
| | Orders as % of | Total Market | | Marketable | % of Non- | | % of Non- | Total Other | % of Non- | |
| tal Non-Directed Orders | All Orders | Orders | Directed Orders | Limit Orders | Directed Orders | Limit Orders | Directed Orders | Orders | Directed Orders | |
| 8 | 100.00 | 8 | 100.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |] |
| | | | | | | | | | | |
| nues | | | | | | | | | | |
| | | | | | | | Nam | No. | | |
| | Non-Directed | Non-Directed | | Market | Marketable | | Non- Marketable | Non- Marketable | | Other |
| | Non-Directed Orders | Orders(%) | Market Orders | Market Orders(%) | Marketable Limit Orders | | Marketable Limit Orders | Marketable Limit Orders(%) | | Other Orders(%) |
| enue - Non-directed Order Flow 1 Execution Services, LLC(ETMM) | oruers | Orders(%) 50.00 | Market Orders | Orders(%) 50.00 | Limit Orders | 0.00 | Linit Orders | 0.00 | other Orders | orders(%) |
| tadel Securities LLC(CDRG) | 4 | 25.00 | 3 | 25.00 | 0 | 0.00 | 0 | 0.00 | 0 | |
| ne Street Capital, LLC(JNST) | 1 | | 1 | 12.50 | 0 | | 0 | 0.00 | | |
| vo Sigma Securities, LLC(SOHO) | 1 | 12.50 | 1 | 12.50 | 0 | | 0 | | | |
| | | 12.30 | | 12.30 | . 0 | 0.00 | | 0.00 | | |
| oril 2023 | | | | | | | | | | |
| otion Contracts | | | | | | | | | | |
| mmary | | | | | | | | | | |
| · | | | | | | | | | | |
| | | | | | | | Non- | | | |
| | | | | | Marketable | | Marketable | | | |
| | Non-Directed | | Market Orders | Total | Limit Orders as | Total Non- | Limit Orders as | | Other Orders as | |
| | Orders as % of | Total Market | as % of Non- | Marketable | % of Non- | Marketable | % of Non- | Total Other | % of Non- | |
| ital Non-Directed Orders | All Orders | Orders | Directed Orders | Limit Orders | Directed Orders | Limit Orders | Directed Orders | Orders | Directed Orders | |
| 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | |
| | | | | | | | | | | |
| enues | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | Non- | Non- | | |
| | Non-Directed | Non-Directed | | Market | Marketable | Marketable | Marketable | Marketable | | Other |
| enue - Non-directed Order Flow | Orders | Orders(%) | Market Orders | Orders(%) | Limit Orders | Limit Orders(%) | Limit Orders | Limit Orders(%) | Other Orders | Orders(%) |
| lay 2023 | | | | | | | | | | |
| &P 500 Stocks | | | | | | | | | | |
| ummary | | | | | | | | | | |
| y | | | | | | | | | | |
| | | | | | | | Non- | | | |
| | | | | | Marketable | | Marketable | | | |
| | Non-Directed | | Market Orders | Total | | Total Non- | Limit Orders as | | Other Orders as | |
| | Orders as % of | Total Market | as % of Non- | Marketable | % of Non- | | % of Non- | Total Other | % of Non- | |
| otal Non-Directed Orders | All Orders | Orders | Directed Orders | | | | Directed Orders | | Directed Orders | |
| 1 | 100.00 | 1 | 100.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 | |
| | | | | | | | | | | _ |
| enues | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | Non- | Non- | | |
| | Non-Directed | Non-Directed | | Market | Marketable | Marketable | Marketable | Marketable | | Other |
| | Orders | Orders(%) | Market Orders | Orders(%) | Limit Orders | | Limit Orders | Limit Orders(%) | | Orders(%) |
| tadel Securities LLC(CDRG) | 1 | 100.00 | 1 | 100.00 | 0 | 0.00 | 0 | 0.00 | 0 | 1 |
| Inv 2022 | | | | | | | | | | |
| lay 2023 on-S&P 500 Stocks | | | | | | | | | | |
| on-S&P 500 Stocks Immary | | | | | | | | | | |
| anninery | | | | | | | | | | 1 |
| | | | | | | | Non- | | | |
| | | | | | Marketable | | Marketable | | | |
| | Non-Directed | | Market Orders | Total | | | Limit Orders as | | Other Orders as | |
| | Orders as % of | Total Market | as % of Non- | Marketable | % of Non- | | % of Non- | Total Other | % of Non- | |
| otal Non-Directed Orders | All Orders | Orders | Directed Orders | Limit Orders | Directed Orders | | Directed Orders | Orders | Directed Orders | |
| - | 100.00 | 7 | 100.00 | 0 | 0.00 | 0 | 0.00 | 0 | | |
| | | | | | | | | | | |
| 7 | | | | | | | | | | |
| enues | | | | | | | | | | |
| rnues | | | | | | | | | | |
| | | | | | | | Non- | Non- | | |
| | Non-Directed | Non-Directed | | Market | | Marketable | Marketable | Marketable | | Other |
| enue - Non-directed Order Flow | Non-Directed Orders | Orders(%) | Market Orders | Orders(%) | Marketable Limit Orders | Marketable Limit Orders(%) | | Marketable Limit Orders(%) | | Other Orders(%) |
| enue - Non-directed Order Flow tadel Securities LLC(CDRG) | | Orders(%) 42.86 | Market Orders | Orders(%) 42.86 | Limit Orders | Marketable Limit Orders(%) 0.00 | Marketable | Marketable Limit Orders(%) 0.00 | Other Orders | Orders(%) |
| enue - Non-directed Order Flow tadel Securities LLC(CDRG) RTU Americas, LLC(NITE) | Orders 3 3 | Orders(%) 42.86 42.86 | Market Orders 3 3 | Orders(%) 42.86 42.86 | Limit Orders 0 | Marketable Limit Orders(%) 0.00 0.00 | Marketable Limit Orders 0 0 | Marketable Limit Orders(%) 0.00 0.00 | Other Orders 0 0 | Orders(%) |
| enue - Non-directed Order Flow tadel Securities LLC(CDRG) RTU Americas, LLC(NITE) | | Orders(%) 42.86 42.86 | Market Orders 3 3 1 | Orders(%) 42.86 | Limit Orders | Marketable Limit Orders(%) 0.00 0.00 | Marketable | Marketable Limit Orders(%) 0.00 0.00 | Other Orders 0 0 | Orders(%) |
| enue - Non-directed Order Flow tadel Securities LLC(CDRG) RTU Americas, LLC(NITE) ne Street Capital, LLC(INST) | Orders 3 3 | Orders(%) 42.86 42.86 | Market Orders 3 3 1 | Orders(%) 42.86 42.86 | Limit Orders 0 | Marketable Limit Orders(%) 0.00 0.00 | Marketable Limit Orders 0 0 | Marketable Limit Orders(%) 0.00 0.00 | Other Orders 0 0 | Orders(%) |
| enue - Non-directed Order Flow tadel Securities LLC(CDRG) RTU Americas, LLC(NITE) ne Street Capital, LLC(JINST) | Orders 3 3 | Orders(%) 42.86 42.86 | Market Orders 3 3 1 | Orders(%) 42.86 42.86 | Limit Orders 0 | Marketable Limit Orders(%) 0.00 0.00 | Marketable Limit Orders 0 0 | Marketable Limit Orders(%) 0.00 0.00 | Other Orders 0 0 | Orders(%) |
| enue - Non-directed Order Flow tadel Securities LLC(CDRG) RTU Americas, LLC(NTE) ne Street Capital, LLC(INST) ay 2023 stion Contracts | Orders 3 3 | Orders(%) 42.86 42.86 | Market Orders 3 3 1 | Orders(%) 42.86 42.86 | Limit Orders 0 | Marketable Limit Orders(%) 0.00 0.00 | Marketable Limit Orders 0 0 | Marketable Limit Orders(%) 0.00 0.00 | Other Orders 0 0 | Orders(%) |
| enue - Non-directed Order Flow tadel Securities LLC(DRG) RTU Americas, LLC(NTE) ne Street Capital, LLC(INST) ay 2023 ption Contracts | Orders 3 3 | Orders(%) 42.86 42.86 | Market Orders 3 3 1 | Orders(%) 42.86 42.86 | Limit Orders 0 | Marketable Limit Orders(%) 0.00 0.00 | Marketable Limit Orders 0 0 | Marketable Limit Orders(%) 0.00 0.00 | Other Orders 0 0 | Orders(%) |
| enue - Non-directed Order Flow tadel Securities LLC(DRG) RTU Americas, LLC(NTE) ne Street Capital, LLC(INST) ay 2023 ption Contracts | Orders 3 3 | Orders(%) 42.86 42.86 | Market Orders 3 3 1 | Orders(%) 42.86 42.86 | Limit Orders 0 | Marketable Limit Orders(%) 0.00 0.00 0.00 | Marketable Limit Orders 0 0 | Marketable Limit Orders(%) 0.00 0.00 | Other Orders 0 0 | Orders(%) |
| enue - Non-directed Order Flow tadel Securities LLC(DRG) RTU Americas, LLC(NTE) ne Street Capital, LLC(INST) ay 2023 ption Contracts | Orders 3 3 | Orders(%) 42.86 42.86 | Market Orders 3 3 1 | Orders(%) 42.86 42.86 | Limit Orders 0 0 0 | Marketable Limit Orders(%) 0.00 0.00 0.00 | Marketable Limit Orders 0 0 0 | Marketable Limit Orders(%) 0.00 0.00 | Other Orders 0 0 | Orders(%) |
| enue - Non-directed Order Flow tadel Securites LLC(CDRG) RTU Americas, LLC(NITE) ne Street Capital, LLC(INST) lay 2023 ption Contracts ummary | <u>3</u> 3 1 | Orders(%) 42.86 42.86 | 3 3 1 | 0rders(%) 42.86 42.86 14.29 | Limit Orders 0 0 0 0 Marketable | Marketable Limit Orders(%) 0.00 0.00 0.00 | Marketable Limit Orders 0 0 0 0 Non-Marketable | Marketable Limit Orders(%) 0.00 0.00 | Other Orders 0 0 0 | Orders(%) |
| enue - Non-directed Order Flow tadel Securities LLC(CDRG) RTU Americas, LLC(NITE) ne Street Capital, LLC(JNST) ay 2023 ation Contracts ummary | Orders 3 3 1 | Orders(%) 42.86 42.86 14.29 | 3 3 1 | Orders(%) 42.86 42.86 14.29 | Limit Orders 0 0 0 0 0 Marketable Limit Orders as | Marketable Limit Orders(%) 0.00 0.00 0.00 Total Non- | Marketable Limit Orders 0 0 0 Non- Marketable Limit Orders as | Marketable Limit Orders(%) 0.00 0.00 | Other Orders 0 0 0 0 Other Orders as | Orders(%) |
| enue - Non-directed Order Flow tadel Securities LLC(CDRG) RTU Americas, LLC(NITE) ne Street Capital, LLC(NIST) ay 2023 ption Contracts mmary | Orders 3 3 1 1 Non-Directed Orders as % of | Orders(%) 42.86 42.86 14.29 | 3 3 1 1 Market Orders as % of Non- | Orders(%) 42.86 42.86 14.29 | Limit Orders 0 0 0 0 Marketable Limit Orders as % of Non- | Marketable Limit Orders(%) 0.00 0.00 0.00 Total Non- Marketable | Marketable Limit Orders 0 0 0 0 Non- Marketable Limit Orders as % of Non- | Marketable Limit Orders(%) 0.00 0.00 0.00 | Other Orders Other Orders as % of Non- | Orders(%) |
| unue - Non-directed Order Flow tadel Securities LLC(CDRG) RTU Americas, LLC(NITE) ne Street Capital, LLC(JINST) ay 2023 stion Contracts mmary | Orders 3 3 1 | Orders(%) 42.86 42.86 14.29 Total Market Orders | 3 3 1 | Orders(%) 42.86 42.86 14.29 Total Marketable Limit Orders | Limit Orders 0 0 0 0 0 Marketable Limit Orders as | Marketable Limit Orders(%) 0.00 0.00 0.00 Total Non- Marketable | Marketable Limit Orders 0 0 0 Non- Marketable Limit Orders as | Marketable Limit Orders(%) 0.00 0.00 0.00 Total Other Orders | Other Orders O O O O O O O O O O O O O O O O O O | Orders(%) |

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SVB INVESTMENT SERVICES INC. Held NMS Stocks and Options Order Routing Public Report Generated on Tue Jul 11 2023 11:07:47 GMT-4 EDT 2nd Quarter, 2023

| | | | 2nd Quarter, 2 | 023 | | | | | | |
|---|---|--|---|---|---|--|---|---|---|---|
| Venues | | | | | | | | | | |
| renues | | | | | | | | | | |
| | | | | | | | Non- | Non- | | |
| | Non-Directed | Non-Directed | | Market | Marketable | Marketable | Marketable | Marketable | | Other |
| Venue - Non-directed Order Flow | Orders | Orders(%) | Market Orders | Orders(%) | Limit Orders | Limit Orders(%) | Limit Orders | Limit Orders(%) | Other Orders | Orders(%) |
| 1 2022 | | | | | | | | | | |
| June 2023 S&P 500 Stocks | | | | | | | | | | |
| Summary | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | Non- | | | |
| | | | | | Marketable | | Marketable | | | |
| | Non-Directed | | Market Orders | Total | Limit Orders as | Total Non- | Limit Orders as | | Other Orders as | |
| | Orders as % of | Total Market | as % of Non- | Marketable | % of Non- | Marketable | % of Non- | Total Other | % of Non- | |
| Total Non-Directed Orders | All Orders | Orders | Directed Orders | Limit Orders | Directed Orders | Limit Orders | Directed Orders | | Directed Orders | |
| 6 | 100.00 | 6 | 100.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.00 |] |
| West of | | | | | | | | | | |
| Venues | 1 | | | | | | | l | | |
| | | | | | | | Non- | Non- | | |
| | Non-Directed | Non-Directed | | Market | Marketable | Marketable | Marketable | Marketable | | Other |
| Venue - Non-directed Order Flow | Orders | Orders(%) | Market Orders | Orders(%) | Limit Orders | Limit Orders(%) | Limit Orders | Limit Orders(%) | Other Orders | Orders(%) |
| Citadel Securities LLC(CDRG) | 3 | 50.00 | 3 | 50.00 | 0 | 0.00 | 0 | 0.00 | 0 | 0.0 |
| VIRTU Americas, LLC(NITE) | 2 | 33.33 | 2 | 33.33 | 0 | 0.00 | 0 | 0.00 | 0 | 0.0 |
| Jane Street Capital, LLC(JNST) | 1 | 16.67 | 1 | 16.67 | 0 | 0.00 | 0 | 0.00 | 0 | 0.0 |
| Non-S&P 500 Stocks Summary | | | | | | | | | | 1 |
| | | | | | | | | | | |
| | | | | | Marketable | | Non- Marketable | | | |
| | Non-Directed | | Market Orders | Total | Limit Orders as | Total Non- | Limit Orders as | | Other Orders as | |
| | Orders as % of | Total Market | as % of Non- | Marketable | % of Non- | Marketable | % of Non- | Total Other | % of Non- | |
| Total Non-Directed Orders | All Orders | Orders | Directed Orders | | Directed Orders | | Directed Orders | | Directed Orders | |
| 26 | | | | | | | | | | |
| | 100.00 | 24 | 92.31 | Limit Orders | 3.85 | 1 | 3.85 | 0 | | |
| | 100.00 | | | Limit Orders | | 1 | | | | <u> </u> |
| Venues | 100.00 | | | 1 | | 1 | | | | 1 |
| Venues | 100.00 | | | 1 | | 1 | 3.85 | 0 | | |
| Venues | | 24 | | 1 | 3.85 | 1 | 3.85 Non- | Non- | | Othor |
| | Non-Directed | 24 Non-Directed | 92.31 | 1 Market | 3.85 | Marketable | 3.85 Non- Marketable | Non- Marketable | 0.00 | Other |
| Venue - Non-directed Order Flow | Non-Directed Orders | Non-Directed Orders(%) | 92.31 Market Orders | Market Orders(%) | 3.85 | Limit Orders(%) | 3.85 Non- Marketable Limit Orders | Non- Marketable Limit Orders(%) | 0.00 | Orders(%) |
| Venue - Non-directed Order Flow Citadel Securities LLC(CDRG) | Non-Directed | 24 Non-Directed | 92.31 | Market Orders(%) | 3.85 | | 3.85 Non- Marketable | Non- Marketable | 0.00 | Orders(%) |
| Venue - Non-directed Order Flow Citadel Securities LLC(CDRG) VIRTU Americas, LLC(NITE) | Non-Directed Orders | Non-Directed Orders(%) | 92.31 Market Orders | Market Orders(%) 41.67 20.83 | 3.85 | Limit Orders(%) 0.00 | 3.85 Non- Marketable Limit Orders | Non- Marketable Limit Orders(%) 0.00 | Other Orders | Orders(%) 0.0 |
| Venue - Non-directed Order Flow Citadel Securities LLC(CPRG) VIRTU Americas, LLC(NITE) GI Execution Services, LLC(ETMM) Jane Street Capital, LLC(INST) | Non-Directed Orders | Non-Directed Orders(%) 37.04 22.22 18.52 11.11 | 92.31 Market Orders 10 5 5 3 | Market Orders(%) 41.67 20.83 20.83 12.50 | 3.85 Marketable Limit Orders 0 1 | 0.00 100.00 0.00 0.00 | 3.85 Non- Marketable Limit Orders 0 0 0 0 | Non- Marketable Limit Orders(%) 0.00 0.00 0.00 | Other Orders | Orders(%) 0.0 0.0 0.0 |
| Venue - Non-directed Order Flow Citadel Securities LLC(CDRG) VIRTU Americas, LLC(NITE) G1 Execution Services, LLC(ETMM) Jane Street Capital, LLC(INST) Instinet BlockCross(BLKX) | Non-Directed Orders | Non-Directed Orders(%) 37.04 22.22 18.52 11.11 | 92.31 Market Orders 10 5 3 0 | Market Orders(%) 41.67 20.83 20.83 12.50 | Marketable Limit Orders 0 0 0 0 0 | 0.00 0.00 100.00 0.00 0.00 0.00 | Non- Marketable Limit Orders 0 0 0 0 | Non- Marketable Limit Orders(%) 0.00 0.00 0.00 0.00 | 0.00 Other Orders 0 0 0 0 1 | 0.0 0.0 0.0 0.0 0.0 100.0 |
| Venue - Non-directed Order Flow Citadel Securities LLC(DRG) VIRTU America, LLC(NITE) G1 Execution Services, LLC(ETMM) Jane Street Capital, LLC(IMST) Instinct BlockCross(BLKX) Cboe EDGX US Equities Exchange(EDGX) | Non-Directed Orders | Non-Directed Orders(%) 37.04 22.22 18.52 11.11 3.70 3.70 | 92.31 Market Orders 10 5 3 0 0 | Market Orders(%) 41.67 20.83 20.83 12.50 0.00 | Marketable Limit Orders 0 0 0 0 0 | 0.00 100.00 0.00 0.00 0.00 0.00 0.00 | Non- Marketable Limit Orders 0 0 0 0 1 | Non- Marketable Limit Orders(%) 0.00 0.00 0.00 0.00 0.00 | 0.00 Other Orders 0 0 0 0 1 1 0 | 0.0 0.0 0.0 0.0 100.0 |
| Citadel Securities LLC(CDRG) VIRTU Americas, LLC(NITE) GI Execution Services, LLC(ETMM) Jane Street Capital, LLC(NST) | Non-Directed Orders | Non-Directed Orders(%) 37.04 22.22 18.52 11.11 | 92.31 Market Orders 10 5 5 3 | Market Orders(%) 41.67 20.83 20.83 12.50 | Marketable Limit Orders 0 1 0 | 0.00 100.00 0.00 0.00 | 3.85 Non- Marketable Limit Orders 0 0 0 0 | Non- Marketable Limit Orders(%) 0.00 0.00 0.00 | 0.00 Other Orders 0 0 0 0 | Orders(%) |
| Venue - Non-directed Order Flow Citadel Securities LLC(DRG) VIRTU America, LLC(NITE) G1 Execution Services, LLC(ETMM) Jane Street Capital, LLC(IMST) Instinct BlockCross(BLKX) Cboe EDGX US Equities Exchange(EDGX) | Non-Directed Orders | Non-Directed Orders(%) 37.04 22.22 18.52 11.11 3.70 3.70 | 92.31 Market Orders 10 5 3 0 0 | Market Orders(%) 41.67 20.83 20.83 12.50 0.00 | Marketable Limit Orders 0 0 0 0 0 | 0.00 100.00 0.00 0.00 0.00 0.00 0.00 | Non- Marketable Limit Orders 0 0 0 0 1 | Non- Marketable Limit Orders(%) 0.00 0.00 0.00 0.00 0.00 | 0.00 Other Orders 0 0 0 0 1 1 0 | Orders(%) (0) (1) (1) (1) (2) (1) (2) (3) (4) (5) (6) (6) (7) (7) (7) (8) (8) (9) (9) (9) (9) (9) (9) (9) (9) (9) (9 |
| Venue - Non-directed Order Flow Citadel Securities LLC(CDRG) VIRTU Americas, LLC(INTE) G1 Execution Services, LLC(ETMM) Jane Street Capital, LLC(INST) Instinet BlockCross(BLKX) Choe EDGX US Equities Exchange(EDGX) Two Sigma Securities, LLC(SOHO) | Non-Directed Orders | Non-Directed Orders(%) 37.04 22.22 18.52 11.11 | 92.31 Market Orders 10 5 3 0 | Market Orders(%) 41.67 20.83 20.83 12.50 0.00 | Marketable Limit Orders 0 0 0 0 0 | 0.00 100.00 0.00 0.00 0.00 0.00 | Non- Marketable Limit Orders 0 0 0 0 | Non- Marketable Limit Orders(%) 0.00 0.00 0.00 0.00 0.00 | 0.00 Other Orders 0 0 0 0 1 1 0 | 0rders(%) 0 0 0 0 100 |
| Venue - Non-directed Order Flow Citadel Securities LLC(CDRG) VIRTU Americas, LLC(NTE) G1 Execution Services, LLC(ETMM) Jane Street Capital, LLC(IMST) Instinet BlockCoss(BLKX) Choe EDGX US Equities Exchange(EDGX) Two Sigma Securities, LLC(SOHO) June 2023 | Non-Directed Orders | Non-Directed Orders(%) 37.04 22.22 18.52 11.11 3.70 3.70 | 92.31 Market Orders 10 5 3 0 0 | Market Orders(%) 41.67 20.83 20.83 12.50 0.00 | Marketable Limit Orders 0 0 0 0 0 | 0.00 100.00 0.00 0.00 0.00 0.00 0.00 | Non- Marketable Limit Orders 0 0 0 0 1 | Non- Marketable Limit Orders(%) 0.00 0.00 0.00 0.00 0.00 | 0.00 Other Orders 0 0 0 0 1 1 0 | 0rders(%) 0 0 0 0 100 |
| Venue - Non-directed Order Flow Citadel Securities LLC(DRG) VIRTU Americas, LLC(NITE) G1 Execution Services, LLC(ETMM) Jane Street Capital, LLC(JNST) Instinet BlockCross(BLKX) Choe EDGX US Equities Exchange(EDGX) Two Sigma Securities, LLC(SOHO) June 2023 Option Contracts | Non-Directed Orders | Non-Directed Orders(%) 37.04 22.22 18.52 11.11 3.70 3.70 | 92.31 Market Orders 10 5 3 0 0 | Market Orders(%) 41.67 20.83 20.83 12.50 0.00 | Marketable Limit Orders 0 0 0 0 0 | 0.00 100.00 0.00 0.00 0.00 0.00 0.00 | Non- Marketable Limit Orders 0 0 0 0 1 | Non- Marketable Limit Orders(%) 0.00 0.00 0.00 0.00 0.00 | 0.00 Other Orders 0 0 0 0 1 1 0 | 0rders(%) 0 0 0 0 100 0 |
| Venue - Non-directed Order Flow Citadel Securities LLC(CDRG) VIRTU Americas, LLC(NITE) G1 Execution Services, LLC(ETMM) Jane Street Capital, LLC(JNST) Instinet BlockCross(BLKX) Choe EDGX US Equities Exchange(EDGX) Type Sigms Securities, LLC(SOHO) June 2023 Option Contracts | Non-Directed Orders | Non-Directed Orders(%) 37.04 22.22 18.52 11.11 3.70 3.70 | 92.31 Market Orders 10 5 3 0 0 | Market Orders(%) 41.67 20.83 20.83 12.50 0.00 | Marketable Limit Orders 0 0 0 0 0 | 0.00 100.00 0.00 0.00 0.00 0.00 0.00 | Non- Marketable Limit Orders 0 0 0 0 1 | Non- Marketable Limit Orders(%) 0.00 0.00 0.00 0.00 0.00 | 0.00 Other Orders 0 0 0 0 1 1 0 | Orders(%) 0 0 0 0 100 |
| Venue - Non-directed Order Flow Citadel Securities LLC(DRG) VIRTU America, LLC(NITE) G1 Execution Services, LLC(ETMM) Jane Street Capital, LLC(IMST) Instinct BlockCross(BLKX) Cboe EDGX US Equities Exchange(EDGX) | Non-Directed Orders | Non-Directed Orders(%) 37.04 22.22 18.52 11.11 3.70 3.70 | 92.31 Market Orders 10 5 3 0 0 | Market Orders(%) 41.67 20.83 20.83 12.50 0.00 | Marketable Limit Orders 0 0 0 0 0 | Limit Orders(%) 0.00 100.00 0.00 0.00 0.00 0.00 0.00 | Non- Marketable Limit Orders 0 0 0 0 0 | Non- Marketable Limit Orders(%) 0.00 0.00 0.00 0.00 0.00 | 0.00 Other Orders 0 0 0 0 1 1 0 | 0rders(%) 0 0 0 0 100 |
| Venue - Non-directed Order Flow Citadel Securities LLC(CDRG) VIRTU Americas, LLC(NITE) G1 Execution Services, LLC(ETMM) Jane Street Capital, LLC(JNST) Instinet BlockCross(BLKX) Choe EDGX US Equities Exchange(EDGX) Type Sigms Securities, LLC(SOHO) June 2023 Option Contracts | Non-Directed Orders | Non-Directed Orders(%) 37.04 22.22 18.52 11.11 3.70 3.70 | 92.31 Market Orders 10 5 3 0 0 | Market Orders(%) 41.67 20.83 20.83 12.50 0.00 | Marketable Limit Orders 0 1 0 0 0 0 0 | Limit Orders(%) 0.00 100.00 0.00 0.00 0.00 0.00 0.00 | Non- Marketable Limit Orders 0 0 0 1 0 0 Non- | Non- Marketable Limit Orders(%) 0.00 0.00 0.00 0.00 0.00 | 0.00 Other Orders 0 0 0 0 1 1 0 | 0rders(%) 0 0 0 0 100 |
| Venue - Non-directed Order Flow Citadel Securities LLC(CDRG) VIRTU Americas, LLC(NITE) G1 Execution Services, LLC(ETMM) Jane Street Capital, LLC(JNST) Instinet BlockCross(BLKX) Choe EDGX US Equities Exchange(EDGX) Type Sigms Securities, LLC(SOHO) June 2023 Option Contracts | Non-Directed Orders 10 6 5 3 1 1 1 | Non-Directed Orders(%) 37.04 22.22 18.52 11.11 3.70 3.70 | 92.31 Market Orders 10 5 3 0 1 | Market Orders(%) 41.67 20.83 20.83 12.50 0.00 4.17 | Marketable Limit Orders 0 0 0 0 0 0 Marketable | Limit Orders(%) 0.00 100.00 0.00 0.00 0.00 0.00 0.00 | Non- Marketable Limit Orders 0 0 0 0 0 0 0 Non- Marketable | Non- Marketable Limit Orders(%) 0.00 0.00 0.00 0.00 0.00 | 0.00 Other Orders 0 0 0 0 1 1 0 0 0 | 0.000000000000000000000000000000000000 |
| Venue - Non-directed Order Flow Citadel Securities LLC(CDRG) VIRTU Americas, LLC(NITE) G1 Execution Services, LLC(ETMM) Jane Street Capital, LLC(JNST) Instinet BlockCross(BLKX) Choe EDGX US Equities Exchange(EDGX) Type Sigms Securities, LLC(SOHO) June 2023 Option Contracts | Non-Directed Orders 10 6 5 3 1 1 1 | Non-Directed Orders(%) 37.04 22.22 18.52 11.11 3.70 3.70 | 92.31 Market Orders 10 5 5 0 0 Market Orders | Market Orders(%) 41.67 20.83 20.83 12.50 0.00 4.17 Total | Marketable Limit Orders 0 0 0 0 0 0 0 0 Marketable Limit Orders as | Limit Orders(%) 0.00 100.00 0.00 0.00 0.00 0.00 0.00 | Non- Marketable Limit Orders 0 0 0 1 0 0 Non- Marketable Limit Orders as | Non- Marketable Limit Orders(%) 0.00 0.00 0.00 0.00 100.00 | Other Orders Other Orders Other Orders as | 0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0.0. |
| Venue - Non-directed Order Flow Citadel Securities LLC(CDRG) VIRTU Americas, LLC(INITE) G1 Execution Services, LLC(ETMM) Jane Street Capital, LLC(INST) Instinet BlockCross(BLKX) Choe EDGX US Equities Exchange(EDGX) Two Sigma Securities, LLC(SOHO) June 2023 June 2023 June 2025 Summary | Non-Directed Orders 10 6 5 3 1 1 1 1 Non-Directed Orders as % of | 24 Non-Directed Orders(%) 37.04 22.22 18.52 11.11 3.70 3.70 3.70 Total Market | 92.31 Market Orders 10 5 5 0 0 1 | Market Orders(%) 41.67 20.83 20.83 12.50 0.00 4.17 Total Marketable | Marketable Limit Orders 1 0 0 0 0 0 0 Marketable Limit Orders as % of Non- | Umit Orders(%) | Non- Marketable Limit Orders 0 0 0 1 1 0 Non- Marketable Limit Orders as % of Non- | 0 Non- Marketable Limit Orders(%) 0.00 0.00 0.00 0.00 0.00 0.00 0.00 Total Other | 0.00 Other Orders 0 0 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 | 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 0.0 |
| Venue - Non-directed Order Flow Citadel Securities LLC(CDRG) VIRTU Americas, LLC(NITE) G1 Execution Services, LLC(ETMM) Jane Street Capital, LLC(JNST) Instinet BlockCross(BLKX) Choe EDGX US Equities Exchange(EDGX) Type Sigms Securities, LLC(SOHO) June 2023 Option Contracts | Non-Directed Orders 10 6 5 3 1 1 1 1 Non-Directed Orders as % of All Orders | Non-Directed Orders(%) 37.04 22.22 18.52 11.11 3.70 3.70 | 92.31 Market Orders 10 5 5 0 0 Market Orders | Market Orders(%) 41.67 20.83 20.83 12.50 0.00 4.17 Total | Marketable Limit Orders 0 0 0 0 0 0 0 0 Marketable Limit Orders as | Umit Orders(%) | Non- Marketable Limit Orders 0 0 0 1 0 0 Non- Marketable Limit Orders as | Non- Marketable Limit Orders(%) 0.00 0.00 0.00 0.00 0.00 0.00 0.00 | Other Orders 0 0 0 0 1 1 0 0 0 0 0 0 0 0 0 0 0 0 0 | 0rders(%) 0 0 0 0 100 0 |

Non-Directed Orders

Non-Directed Orders(%)

SVB Confidential

Venue - Non-directed Order Flow N/A

Marketable
Limit Orders(%)
NonMarketable
Limit Orders

Marketable Limit Orders Non-Marketable Limit Orders(%) Other Orders

Other Orders(%)