

SVB INVESTMENT SERVICES INC.
Held NMS Stocks and Options Order Routing Public Report
Generated on Fri Apr 07 2023 08:57:56 GMT-4 EDT
1st Quarter, 2023

January 2023
S&P 500 Stocks
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
Total Non-Directed Orders	3	100.00	3	100.00	0	0.00	0	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	2	66.67	2	66.67	0	0.00	0	0.00	0	0.00
VIRTU Americas, LLC(NITE)	1	33.33	1	33.33	0	0.00	0	0.00	0	0.00

January 2023
Non-S&P 500 Stocks
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
Total Non-Directed Orders	40	100.00	40	100.00	0	0.00	0	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	16	40.00	16	40.00	0	0.00	0	0.00	0	0.00
VIRTU Americas, LLC(NITE)	12	30.00	12	30.00	0	0.00	0	0.00	0	0.00
G1 Execution Services, LLC(ETMM)	11	27.50	11	27.50	0	0.00	0	0.00	0	0.00
Jane Street Capital, LLC(INST)	1	2.50	1	2.50	0	0.00	0	0.00	0	0.00

January 2023
Option Contracts
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders	
Total Non-Directed Orders	3	100.00	0	0.00	2	66.67	1	33.33	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Dash Financial Technologies LLC(DASH)	2	50.00	0	0.00	1	100.00	1	33.33	0	0.00
Wolverine Execution Services LLC(WEXX)	2	50.00	0	0.00	0	0.00	2	66.67	0	0.00

February 2023
S&P 500 Stocks
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
Total Non-Directed Orders	9	100.00	9	100.00	0	0.00	0	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	3	33.33	3	33.33	0	0.00	0	0.00	0	0.00
G1 Execution Services, LLC(ETMM)	3	33.33	3	33.33	0	0.00	0	0.00	0	0.00
VIRTU Americas, LLC(NITE)	3	33.33	3	33.33	0	0.00	0	0.00	0	0.00

February 2023
Non-S&P 500 Stocks
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
Total Non-Directed Orders	31	100.00	31	100.00	0	0.00	0	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
VIRTU Americas, LLC(NITE)	11	34.38	11	35.48	0	0.00	0	0.00	0	0.00
Citadel Securities LLC(CDRG)	10	31.25	10	32.26	0	0.00	0	0.00	0	0.00
G1 Execution Services, LLC(ETMM)	8	25.00	8	25.81	0	0.00	0	0.00	0	0.00
Instinet BlockCross(BLXX)	1	3.13	0	0.00	0	0.00	0	0.00	1	100.00
StoneX Financial INC.(INTL)	1	3.13	1	3.23	0	0.00	0	0.00	0	0.00
Jane Street Capital, LLC(INST)	1	3.13	1	3.23	0	0.00	0	0.00	0	0.00

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February 2023
Option Contracts
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders	
Total Non-Directed Orders	0	0.00	0	0.00	0	0.00	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
N/A										

March 2023
S&P 500 Stocks
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders	
Total Non-Directed Orders	5	100.00	5	100.00	0	0.00	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	2	40.00	2	40.00	0	0.00	0	0.00	0	0.00
G1 Execution Services, LLC(ETMM)	2	40.00	2	40.00	0	0.00	0	0.00	0	0.00
VIRTU Americas, LLC(NITE)	1	20.00	1	20.00	0	0.00	0	0.00	0	0.00

March 2023
Non-S&P 500 Stocks
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders	
Total Non-Directed Orders	60	100.00	60	100.00	0	0.00	0	0.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	27	44.26	27	45.00	0	0.00	0	0.00	0	0.00
VIRTU Americas, LLC(NITE)	19	31.15	19	31.67	0	0.00	0	0.00	0	0.00
G1 Execution Services, LLC(ETMM)	10	16.39	10	16.67	0	0.00	0	0.00	0	0.00
Jane Street Capital, LLC(JNST)	2	3.28	2	3.33	0	0.00	0	0.00	0	0.00
Instinet BlockCross(BLKK)	1	1.64	0	0.00	0	0.00	0	0.00	1	100.00
StoneX Financial INC.(INTL)	1	1.64	1	1.67	0	0.00	0	0.00	0	0.00
UBS Securities, LLC(UBSS)	1	1.64	1	1.67	0	0.00	0	0.00	0	0.00

March 2023
Option Contracts
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders	
Total Non-Directed Orders	2	100.00	0	0.00	1	50.00	1	50.00	0	0.00

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)
Wolverine Execution Services LLC(WEXX)	6	50.00	0	0.00	0	0.00	6	54.55	0	0.00
Citadel Securities LLC(CDRG)	4	33.33	0	0.00	1	100.00	3	27.27	0	0.00
Dash Financial Technologies LLC(DASH)	1	8.33	0	0.00	0	0.00	1	9.09	0	0.00
Morgan Stanley & Company LLC(MSCO)	1	8.33	0	0.00	0	0.00	1	9.09	0	0.00