

SVB WEALTH ADVISORY, INC.
Held NMS Stocks and Options Order Routing Public Report
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3rd Quarter, 2021

July 2021
S&P 500 Stocks
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
Total Non-Directed Orders									
2	100	1	50	0	0	1	50	0	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable	Non-Marketable	Other Orders	Other Orders(%)
NASDAQ Execution Services, LLC(NSDQ)	9	81.82	0	0	0	0	9	90	0	0
VIRTU Americas, LLC(NITE)	2	18.18	1	100	0	0	1	10	0	0

Non-S&P 500 Stocks

Summary										
	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders	
Total Non-Directed Orders										
38	100	37	97.37	0	0	1	2.63	0	0	

Venues										
Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable	Non-Marketable	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	16	40	16	43.24	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	9	22.5	9	24.32	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	6	15	6	16.22	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	3	7.5	3	8.11	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	3	7.5	2	5.41	0	0	1	50	0	0
Instinet BlockCross(BLKX)	1	2.5	0	0	0	0	0	0	1	100
NASDAQ Execution Services, LLC(NSDQ)	1	2.5	0	0	0	0	1	50	0	0
UBS Securities, LLC(UBSS)	1	2.5	1	2.7	0	0	0	0	0	0

Option Contracts

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
Total Non-Directed Orders									
4	100	0	0	0	0	4	100	0	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable	Non-Marketable	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	6	66.67	0	0	0	0	6	66.67	0	0
Wolverine Execution Services LLC(WEXX)	2	22.22	0	0	0	0	2	22.22	0	0

Susquehanna Capital Group(SUSQ)	1	11.11	0	0	0	0	1	11.11	0	0
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August 2021
S&P 500 Stocks
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-	Total Other Orders	Other Orders as % of Non-Directed Orders
Total Non-Directed Orders	100	9	100	0	0	0	0	0	0
9									

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable	Non-Marketable	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	4	44.44	4	44.44	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	3	33.33	3	33.33	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	2	22.22	2	22.22	0	0	0	0	0	0

August 2021

Non-S&P 500 Stocks
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-	Total Other Orders	Other Orders as % of Non-Directed Orders
Total Non-Directed Orders	100	83	98.81	1	1.19	0	0	0	0
84									

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable	Non-Marketable	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	45	50	44	53.01	1	100	0	0	0	0
VIRTU Americas, LLC(NITE)	22	24.44	22	26.51	0	0	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	10	11.11	10	12.05	0	0	0	0	0	0
Instinet BlockCross(BLKX)	6	6.67	0	0	0	0	0	0	6	100
G1 Execution Services, LLC(ETMM)	3	3.33	3	3.61	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	3	3.33	3	3.61	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	1	1.11	1	1.2	0	0	0	0	0	0

August 2021

Option Contracts
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-	Total Other Orders	Other Orders as % of Non-Directed Orders
Total Non-Directed Orders	100	0	0	0	0	5	83.33	1	16.67
6									

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable	Non-Marketable	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	3	50	0	0	0	0	3	60	0	0

Dash Financial Technologies LLC(DASH)	1	16.67	0	0	0	0	0	0	1	100
Morgan Stanley & Company LLC(MSCO)	1	16.67	0	0	0	0	1	20	0	0
Wolverine Execution Services LLC(WEXX)	1	16.67	0	0	0	0	1	20	0	0

September 2021

S&P 500 Stocks
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-	Total Other Orders	Other Orders as % of Non-Directed Orders
Total Non-Directed Orders	0	0	0	0	0	0	0	0	0

September 2021

Non-S&P 500 Stocks
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-	Total Other Orders	Other Orders as % of Non-Directed Orders
Total Non-Directed Orders	40	35	87.5	1	2.5	4	10	0	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable	Non-Marketable	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	18	36.73	17	48.57	0	0	1	10	0	0
VIRTU Americas, LLC(NITE)	11	22.45	10	28.57	1	100	0	0	0	0
JANE STREET CAPITAL, LLC(JNST)	8	16.33	8	22.86	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	8	16.33	0	0	0	0	8	80	0	0
Instinet BlockCross(BLKX)	3	6.12	0	0	0	0	0	0	3	100
NYSE Arca(ARCA)	1	2.04	0	0	0	0	1	10	0	0

September 2021

Option Contracts
Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-	Total Other Orders	Other Orders as % of Non-Directed Orders
Total Non-Directed Orders	4	0	0	0	0	0	0	4	100

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable	Non-Marketable	Other Orders	Other Orders(%)
Citadel Securities LLC(CDRG)	2	50	0	0	0	0	0	0	2	50
Susquehanna Capital Group(SUSQ)	2	50	0	0	0	0	0	0	2	50