January 2022

S&P 500 Stocks

Summary

Market Orders as %

Total Non-Directed Non-Directed Orders of Non-Directed Total Marketable
Orders as % of All Orders Total Market Orders Orders Limit Orders

2 100.00 2 100.00 0

Venues

Venue - Non- Non-Directed

directed Order Flow Non-Directed Orders Orders(%) Market Orders Market Orders(%)

VIRTU Americas,

LLC(NITE) 2 100.00 2 100.00

January 2022

Non-S&P 500 Stocks

Summary

Market Orders as %

Total Non-Directed Non-Directed Orders of Non-Directed Total Marketable
Orders as % of All Orders Total Market Orders Orders Limit Orders

49 100.00 49 100.00 0

Venues

Venue - Non-Directed

directed Order Flow Non-Directed Orders Orders(%) Market Orders Market Orders(%)

Citadel Securities LLC(CDRG) VIRTU Americas, LLC(NITE) Jane Street Capital, LLC(JNST) Instinet BlockCross(BLKX)	17	28.81	17	34.69
	14	23.73	14	28.57
	11	18.64	11	22.45
	10	16.95	0	0.00
G1 Execution Services, LLC(ETMM) Two Sigma Securities, LLC(SOHO)	5	8.47 3.39	5	10.20 4.08

January 2022

Option Contracts
Summary

			Market Orders as S	%	
Total Non-Directed	Non-Directed Orders		of Non-Directed	Total Marketable	
Orders	as % of All Orders	Total Market Orders	Orders	Limit Orders	
	0.00	0	0.	00	0

Venues

Venue - Non-Directed

directed Order Flow Non-Directed Orders Orders(%) Market Orders Market Orders(%)

February 2022

S&P 500 Stocks Summary

			Market Orders as %	
Total Non-Directed	Non-Directed Ord	ders	of Non-Directed	Total Marketable
Orders	as % of All Orders	s Total Market Orders	Orders	Limit Orders
	0	0.00	0.00	0

Venues

Venue - Non-Directed

directed Order Flow Non-Directed Orders Orders(%) Market Orders Market Orders(%)

February 2022

Non-S&P 500 Stocks

Summary

			Market Orders as %		
Total Non-Directed	Non-Directed Order	's	of Non-Directed	Total Marketable	
Orders	as % of All Orders	Total Market Orders	Orders	Limit Orders	
,	17 100.0	0 17	100.00	0	

Venues

Venue - Non-	Non-Directed			
directed Order Flow	Non-Directed Orders Orders(%)	Market Orders	Market Order	rs(%)
Citadel Securities				
LLC(CDRG)	8	47.06	8	47.06
Jane Street Capital,				
LLC(JNST)	4	23.53	4	23.53
VIRTU Americas,				
LLC(NITE)	3	17.65	3	17.65
G1 Execution				
Services, LLC(ETMM)	2	11.76	2	11.76

February 2022

Option Contracts
Summary

			Market Orders a	as %	
Total Non-Directed	Non-Directed Ord	ers	of Non-Directed	Total Marketable	
Orders	as % of All Orders	Total Market Order	s Orders	Limit Orders	
	0 0	.00	0	0.00	0

Venues

Venue - Non- Non-Directed

directed Order Flow Non-Directed Orders Orders(%) Market Orders Market Orders(%)

March 2022

S&P 500 Stocks

Summary

Market Orders as %

Total Non-Directed Orders of Non-Directed Orders Total Market Orders Orders Limit Orders

0 0.00 0 0.00 0

Venues

Venue - Non- Non-Directed

directed Order Flow Non-Directed Orders Orders(%) Market Orders Market Orders(%)

March 2022

Non-S&P 500 Stocks

Summary

Market Orders as %

Total Non-Directed Orders of Non-Directed Orders Total Market Orders Orders Limit Orders

15 100.00 15 100.00 0

Venues

Venue - Non- Non-Directed

directed Order Flow Non-Directed Orders Orders(%) Market Orders Market Orders(%)

5	29.41	5	33.33
4	23.53	4	26.67
3	17.65	3	20.00
2	11.76	0	0.00
2	11.76	2	13.33
1	5.88	1	6.67
	4 3 2 2	 4 23.53 3 17.65 2 11.76 2 11.76 	4 23.53 4 3 17.65 3 2 11.76 0 2 11.76 2

March 2022

Option Contracts Summary

			Market Orders as	s %	
Total Non-Directed	Non-Directed Order	S	of Non-Directed	Total Marketable	
Orders	as % of All Orders	Total Market Orders	Orders	Limit Orders	
	0.0	0 0) (0.00	0

Venues

Venue - Non-Directed
directed Order Flow
Non-Directed Orders Orders(%)
Market Orders (%)

SVB WEALTH ADVISORY, INC. - Held NMS
Stocks and Options Order Routing Public
Report
Generated on Tue Apr 12 2022 01:54:28 GMT-4
EDT

1st Quarter, 2022

Marketable Limit
Orders as % of NonDirected Orders

O.00

Total Non-Marketable Limit Orders

Non-Marketable Limit Orders as % of Non-Directed Orders 0 0.00

Marketable Limit
Orders

Marketable Limit Orders(%)

Non-Marketable
Limit Orders

0 0.00 0

Marketable Limit
Orders as % of NonDirected Orders

0.00

Non-Marketable
Limit Orders as % of
Non-Directed Orders

0 0 0.00

Marketable Limit
Orders Marketable Limit Orders(%)

Non-Marketable Limit Orders

0	0.00	0
0	0.00	0
0	0.00	0
0	0.00	0
0	0.00	0
0	0.00	0

0.00

Marketable Limit Non-Marketable Limit Orders as % of Orders as % of Non-**Non-Directed Orders Directed Orders Total Non-Marketable Limit Orders** 0.00 0

Marketable Limit Non-Marketable Orders **Marketable Limit Orders(%) Limit Orders**

Marketable Limit Non-Marketable Orders as % of Non-Limit Orders as % of **Directed Orders Total Non-Marketable Limit Orders Non-Directed Orders** 0.00 0.00 Marketable Limit Orders

Marketable Limit Orders(%)

Non-Marketable Limit Orders

Marketable Limit
Orders as % of NonDirected Orders

Total Non-Marketable Limit Orders

Non-Marketable Limit Orders as % of Non-Directed Orders

0.00

0.00

Marketable Limit Orders	Marketable Limit Orders(%)		Non-Marketable Limit Orders	
	0	0.00		0
	0	0.00		0
	0	0.00		0
	0	0.00		0

Marketable Limit
Orders as % of NonDirected Orders

Total Non-Marketable Limit Orders

Non-Marketable
Limit Orders as % of
Non-Directed Orders
0 0.00

0.00

Marketable Limit Non-Marketable Orders **Marketable Limit Orders(%) Limit Orders Marketable Limit** Non-Marketable Orders as % of Non-Limit Orders as % of **Directed Orders Non-Directed Orders Total Non-Marketable Limit Orders** 0.00 0 0.00 **Marketable Limit** Non-Marketable Orders Marketable Limit Orders(%) **Limit Orders Marketable Limit** Non-Marketable Orders as % of Non-Limit Orders as % of **Non-Directed Orders Directed Orders Total Non-Marketable Limit Orders** 0.00 0 0.00

Marketable Limit
Orders
Marketable Limit Orders(%)
Non-Marketable
Limit Orders

0	0.00	0
0	0.00	0
0	0.00	0
0	0.00	0
0	0.00	0
0	0.00	0

Marketable Limit
Orders as % of NonDirected Orders Total Non-Marketable Limit Orders

0.00

Non-Marketable Limit Orders as % of Non-Directed Orders 0 0.00

Marketable Limit
Orders Marketable Limit Orders(%)

Non-Marketable Limit Orders Other Orders as % of Total Other Orders Non-Directed Orders
0 0.00

			N	Net Payment	Net Payment Paid/Received for
Non-Marketable Limit Orders(%)	Other Orders	Other C		Paid/Received for Market Orders(USD)	Market Orders(cents per hundred shares)
0.0	00	0	0.00	0	0

Total Other Orders

Other Orders as % of Non-Directed Orders

0 0.00

Net Payment
Net Payment
Paid/Received for
Paid/Received for
Paid/Received for
Market Orders(cents

Limit Orders(%)
Other Orders
Other Orders(%)
Market Orders(USD)
Per hundred shares)

0.00	0	0.00	0	0
0.00	0	0.00	0	0
0.00	0	0.00	0	0
0.00	10	100.00	0	0
0.00	0	0.00	0	0
0.00	0	0.00	0	0

Total Other Orders

Other Orders as % of Non-Directed Orders

0 0.00

Net Payment
Non-Marketable
Limit Orders(%)

Net Payment
Paid/Received for
Paid/Received for
Market Orders(cents
Market Orders(USD)
Per hundred shares)

Total Other Orders

Other Orders

Non-Directed Orders

0

0.00

				Net Payment
			Net Payment	Paid/Received for
Non-Marketable			Paid/Received for	Market Orders(cents
Limit Orders(%)	Other Orders	Other Orders(%)	Market Orders(USD)	per hundred shares)

Other Orders as % of Total Other Orders Non-Directed Orders
0 0.00

Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	ı	Net Payment Paid/Received for	Net Payment Paid/Received for Market Orders(cent per hundred shares	
0.0	00	0	0.00	0		0
0.0	00	0	0.00	0		0
0.0	00	0	0.00	0		0
0.0	00	0	0.00	0		0

Other Orders as % of Total Other Orders Non-Directed Orders

0 0.00

Net Payment

Net Payment

Paid/Received for

Non-Marketable

Limit Orders(%)

Other Orders

Other Orders(%)

Net Payment

Paid/Received for

Market Orders(cents

Market Orders(USD)

per hundred shares)

Total Other Orders Non-Directed Orders
0 0.00

Net Payment
Net Payment
Paid/Received for
Non-Marketable
Paid/Received for
Market Orders(cents

Limit Orders(%)
Other Orders
Other Orders(%)
Market Orders(USD)

per hundred shares)

Other Orders as % of
Total Other Orders
Non-Directed Orders
0 0.00

Net Payment

Net Payment

Paid/Received for

Non-Marketable

Limit Orders(%)

Other Orders

Other Orders(%)

Net Payment

Paid/Received for

Market Orders(cents

Market Orders(USD)

per hundred shares)

0.00	0	0.00	0	0
0.00	0	0.00	0	0
0.00	0	0.00	0	0
0.00	2	100.00	0	0
0.00	0	0.00	0	0
0.00	0	0.00	0	0

Other Orders as % of Total Other Orders

Non-Directed Orders

0 0.00

Net Payment
Non-Marketable
Limit Orders(%)

Net Payment
Paid/Received for
Paid/Received for
Market Orders(cents
Market Orders(USD)
Per hundred shares)

Net Payment Paid/Received for **Marketable Limit** Orders(USD)

Net Payment Paid/Received for **Marketable Limit** Orders(cents per hundred shares)

Net Payment Paid/Received for Non-Marketable Limit Orders(USD) **Net Payment** Paid/Received for Non-Marketable **Limit Orders(cents**

Net Payment Paid/Received for per hundred shares) Other Orders(USD)

0

0

0

0

0

Net Payment Paid/Received for **Marketable Limit** Orders(USD)

Net Payment Paid/Received for **Marketable Limit** Orders(cents per hundred shares)

Net Payment Paid/Received for Non-Marketable Limit Orders(USD) **Net Payment** Paid/Received for Non-Marketable **Limit Orders(cents**

Net Payment Paid/Received for per hundred shares) Other Orders(USD)

0			0	0
0	0		0	0
0	0	0	0	0
0	0	0	0	0
0	0	0		0
0	0	0	0	0

Net Payment Paid/Received for **Marketable Limit** Orders(USD)

Net Payment Paid/Received for **Marketable Limit** Orders(cents per hundred shares)

Net Payment Paid/Received for Non-Marketable Limit Orders(USD) **Net Payment** Paid/Received for Non-Marketable **Limit Orders(cents**

Net Payment Paid/Received for per hundred shares) Other Orders(USD)

	Net Payment		Net Payment	
Net Payment	Paid/Received for	Net Payment	Paid/Received for	
Paid/Received for	Marketable Limit	Paid/Received for	Non-Marketable	Net Payment
Marketable Limit Orders(USD)	Orders(cents per hundred shares)	Non-Marketable Limit Orders(USD)	Limit Orders(cents per hundred shares)	Paid/Received for Other Orders(USD)

Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)		Net Payment Paid/Received for Non-Marketable Limit Orders(USD)		Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	
	0	0		0	0		0
	0	0		0	0		0
	0	0		0	0		0
	0	0		0	0		0

Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)
Net Payment Paid/Received for	Net Payment Paid/Received for Marketable Limit	Net Payment Paid/Received for	Net Payment Paid/Received for Non-Marketable	Net Payment
Marketable Limit Orders(USD)	Orders(cents per hundred shares)	Non-Marketable Limit Orders(USD)	Limit Orders(cents per hundred shares)	Paid/Received for Other Orders(USD)
Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)

0			0	0
0	0		0	0
0	0	0	0	0
0	0	0	0	0
0	0	0		0
0	0	0	0	0

Net Payment Paid/Received for **Marketable Limit** Orders(USD)

Net Payment Paid/Received for **Marketable Limit** Orders(cents per hundred shares)

Net Payment Paid/Received for Non-Marketable Limit Orders(USD) **Net Payment** Paid/Received for Non-Marketable **Limit Orders(cents**

Net Payment Paid/Received for per hundred shares) Other Orders(USD) Net Payment
Paid/Received for
Other Orders(cents
per hundred shares)

0

Net Payment
Paid/Received for
Other Orders(cents
per hundred shares)

Net Payment
Paid/Received for
Other Orders(cents
per hundred shares)

Net Payment
Paid/Received for
Other Orders(cents
per hundred shares)

Net Payment
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Other Orders(cents
per hundred shares)

Net Payment
Paid/Received for
Other Orders(cents
per hundred shares)